

## JUMP TRADING GROUP DISCLOSURES

The following Jump Trading Group Disclosures are made by Jump Trading, LLC and Jump Execution, LLC (collectively, the “Firm”):

### SECURITIES INVESTOR PROTECTION CORPORATION (SIPC)

Please make note that you can obtain information about SIPC, including the SIPC brochure, by visiting [www.sipc.org](http://www.sipc.org) or calling (202) 371-8300 or emailing: [asksipc@sipc.org](mailto:asksipc@sipc.org).

### FINANCIAL INDUSTRY REGULATORY AUTHORITY (FINRA)

Financial Industry Regulatory Authority BrokerCheck Disclosure Information regarding Jump Trading, LLC and Jump Execution, LLC and their registered professionals is available to counterparties and clients at no charge via FINRA’s BrokerCheck program online at: <https://brokercheck.finra.org> or by calling FINRA’s hotline at (800) 289-9999.

### BUSINESS CONTINUITY PLAN

FINRA Rule 4370 requires that member firms create and maintain a written business continuity plan identifying procedures relating to an emergency or significant business disruption. Such procedures must address the member's existing relationships with other broker-dealers and counterparties.

The Firm has developed a Business Continuity Plan (“BCP”) that outlines how it plans to respond to events that may significantly disrupt its business. This is a summary of the Business Continuity Plan (the “Plan”) that has been developed by the Firm. The Plan sets forth how the Firm will respond to significant disruptions affecting the Firm’s business and outlines the steps to be taken to protect employees and property; meet existing obligations; establish communications with employees, vendors, counterparties, clients and regulators; and enable the Firm to resume operations.

#### Contents of the Business Continuity Plan

In the event of a significant business disruption, the Firm will make an assessment of the overall impact of the disruption on the Firm’s business with a goal of recovering and resuming operations as quickly as possible.

The Plan addresses the following areas:

- Data back-up and recovery
- All mission critical systems
- Financial and operational assessments
- Alternate communications between the Firm and its employees
- Alternate physical location of employees
- Communications with critical vendors and other business contacts
- Regulatory reporting
- Communications with regulators
- Communications with Participants

#### Varying Disruptions

Significant business disruptions may vary in scope. For example, a disruption may affect only the Firm, the building in which the Firm is located, the business district where the Firm is located, or an entire region. Further, the impact of the disruption may also vary from minimal to severe. The extent and severity of the disruption will determine the steps taken by the Firm. If a disruption is minimal and only affects the Firm, the Firm may conclude that it is not necessary to transfer operations to another location. If, on the other hand, a severe disruption affects the entire region, it is more likely that the Firm will attempt to transfer its operations to a site outside the affected area.